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**LA—73—2023**

**FACULTY OF COMMERCE**

**B.Com. (Sixth Semester) EXAMINATION**

**NOVEMBER/DECEMBER, 2023**

**(Old Course)**

**BANKING LAWS & PRACTICE IN INDIA**

**Paper—XXXII—G (BI-XI)**

**(Friday, 8-12-2023)**

**Time : 10.00 a.m. to 12.00 noon**

*Time—2 Hours*

*Maximum Marks—40*

*N.B. :— (i) All questions are compulsory.*

*(ii) Figures to the right indicate full marks.*

1. What are the contravention and penalties under FEMA, 1999 ? 10

*Or*

Explain objectives and functions of CRISIL in detail.

2. Give a detailed note on settlement agencies in stock market. 10

*Or*

Explain role of brokers and custodian banks in BSE and NSE.

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3. Explain rules regarding global depository receipts as per SEBI, 1992. 10

Or

Explain powers and functions of SEBI in detail.

4. Write short notes on the following (any *two*) : 10

(i) Intra day and Delivery Trading

(ii) Adjudicating Authorities under FEMA, 1999

(iii) NSDL

(iv) CDSL.

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